

# Accepted Manuscript

## **A Systematic Review and Meta-analysis of Sex Differences in Morbidity and Mortality of Acute Lower Respiratory Tract Infections among African Children**

**Running title:** Sex Differences in Morbidity and Mortality of ALRTIs

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## **Abstract**

**Context:** Biological sex is thought to influence morbidity and mortality patterns in children living in sub-Saharan Africa due to acute lower respiratory tract infections (ALRIs), but little is known about the effect of sex on ALRI.

**Objective:** We assessed the quality and strength of evidence of association in African children between sex and incidence, aetiologies and outcomes of ALRI.

**Data Sources, Study Selection, and Data Extraction:** We systematically searched electronic databases for publications from 1971 to 2016: PubMed, African Journals Online, and Google scholar for ALRI literature in the African children's population. We used (pneumonia OR bronchiolitis OR “community-acquired pneumonia” OR CAP OR “hospital-acquired pneumonia” OR “nosocomial pneumonia” OR “ventilator-acquired pneumonia” OR “lung abscess” OR “pleural effusion” OR “empyema thoracis”), AND (sex OR gender) AND (Africa OR Sub-Saharan) as search terms. We included published peer-reviewed journal articles reporting on incidence, aetiology and/or case fatality. We summarized the findings using narrative and meta-analysis methods.

**Results:** We included 14 studies with sex-related data, the median (IQR) number of pneumonia cases reported was 148 (87-770) and 114 (56-599) for male and female patients, respectively. Only two studies reported a sex-specific incidence. The odds of sex were in favour of male sex and the chances of identification of Respiratory Syncytia Virus (RSV) were significantly lower in males than in females (OR=0.60; 95% CI: 0.42, 0.86). Estimates from 9 studies showed that the death rate for males was significantly higher than for females (OR=1.26; 95% CI=1.20–1.33).

**Conclusion:** Sex-disaggregated data on incidence, aetiology and case fatality of pneumonia are scarcely reported in studies published in Africa. However, males appear to die more often than females, and females are more likely to have RSV infection.

**Keywords:** Respiratory tract infections, Pneumonia, Respiratory syncytial viruses, Sex Characteristics

## **1. Background**

Lower respiratory tract infections (LRTIs) are common diseases in children worldwide, accounting for high morbidity, high hospital admissions, and high healthcare costs, especially in developing countries (1). In children aged 1-59 months, pneumonia accounted for an estimated 1.071 million (0.977–1.176) deaths which are 14.1% of all-cause mortality; leading diarrhoea (9.9%; 0.751 million, 0.538–1.031), and malaria (7.4%; 0.564 million, 0.432–0.709) among the three most common diseases claiming most lives of children <5 years of age (2). Although global mortality rates among children aged <5 years have been declining, there are marked variations in the magnitude and trends that exist across regions and countries of the world, and mortality rates ranged from 8% to 15% among African children (3-5). Generally, the highest overall mortality rates were from studies of children with either HIV, severe malnutrition, unvaccinated and very severe pneumonia (3, 6, 7).

Recently, sustainable solutions to the problem of high numbers of child deaths associated with LRTI have been advocated through management (8) and prevention of pneumonia (9, 10). It is therefore essential to know the incidence, likely aetiological agents and burden of LRTI mortality in Africa as it relates to demographic risk factors (11, 12). Conventional knowledge maintains that male children develop LRTIs more frequently than females, and most LRTI has been suspected of having a potentially higher risk of death and worse morbidity in males than females (13). Despite this assumption, epidemiological data on the evidence of sex differences for LRTI in children in sub-Saharan Africa are strikingly limited. In a review published by Falagas (13) in 2007, of the 52 studies on LRTI included, only 7 were carried out on children and none was done in Africa. Even for non-African countries, the association between sex and LRTI has been inconsistently reported. More recently, Jackson's systematic review found that the chances of having severe ALRI were 1.5 (95% CI: 1.0 to 2.3) times higher in males than females, but only one report included was from Africa (14).

## **2. Objective**

To date, no systematic reviews of published literature have assessed the relationship between sex and LRTI in the African children's population. The objective of this review was to systematically assess the quality of available evidence and to present summary estimates of the strength of the association between sex and incidence, aetiologies, and outcomes of LRTI in children using narrative and meta-analysis methods.

## **3. Protocol Registration and Data Sources**

The protocol for this systematic review has been approved and registered with PROSPERO (CRD42019122494). We searched for literature on acute lower respiratory infections in African children using (<https://www.ncbi.nlm.nih.gov/pubmed/>), African Journals Online ([www.ajol.info](http://www.ajol.info)) and Google scholar (<https://scholar.google.co.za/>) from 1971 to 2016. These databases were searched for studies that report data on incidence, aetiologies, and outcomes of LRTI for both male and female children. The terms used and details of the search steps were in Table I and II.

**Table I. Full search terms and strategy used for systematically reviewing the articles indexed in PubMed**

| No. | Concepts   | Search terms   |
|-----|--|--|
| 1   | Lower respiratory tract infections, LRTI and pneumonia | ((("bronchiolitis"[MeSH Terms] OR "bronchiolitis"[All Fields]) OR ("pneumonia"[MeSH Terms] OR "pneumonia"[All Fields]) OR "community-acquired pneumonia"[All Fields] OR CAP[All Fields] OR "hospital-acquired pneumonia"[All Fields] OR "nosocomial pneumonia"[All Fields] OR "ventilator-acquired pneumonia"[All Fields] OR "lung abscess"[All Fields] OR "pleural effusion"[All Fields] OR "empyema thoracis"[All Fields]) |
| 2   | Sex, Sex differences, and gender                       | (("sex"[MeSH Terms] OR "sex"[All Fields]) OR ("sex"[MeSH Terms] OR "sex"[All Fields] OR "gender"[All Fields] OR "gender identity"[MeSH Terms] OR ("gender"[All Fields] AND "identity"[All Fields]) OR "gender identity"[All Fields]))  |
| 3   | Settings   | ("africa"[MeSH Terms] OR "africa"[All Fields] OR "sub-Saharan"[MeSH Terms] OR "sub-Saharan"[All Fields])   |
| 4   | Outcomes   | Incidence OR Prevalence OR Aetiology OR etiology OR admission OR "Case fatality" OR Mortality  |
| 5   | Combination of terms                                   | #1 AND #2 AND #3 AND #4  |
| 6   | Filters  | Humans AND (Language = English) AND (Age: birth-18 years)  |

**Table II: Search terms and strategy used for retrieving published articles from Google Scholar and Africa Journal Online**

| No. | Concepts             | Search terms  |
|-----|----------------------|---|
| 1   | Population           | Child OR Children OR Under-5s OR "less than 5 years"      |
| 2   | Disease              | "Lower respiratory tract infections" OR LRTI OR pneumonia |
| 3   | Comparison           | Sex OR "Sex differences" OR Gender                        |
| 4   | Setting              | Africa OR sub-Saharan                                     |
| 5   | Combination of terms | #1 AND #2 AND #3  |

#### 4. Study selection and eligibility criteria

We included published peer-reviewed journal articles reporting data on any of our outcomes of interest, namely incidence, aetiology, and case fatality. The titles and abstracts of the articles initially identified have been reviewed, to select studies with objectives or focus on our desired results, for a more detailed examination. The decision to include a study was based on whether the data on incidence, aetiology and case fatality of acute LRTI were included in the abstract or body of the article. Subsequently, each eligible article was read to identify the relevant individual patient data in full text. Only those studies that met the inclusion criteria (Table III) have been fully reviewed and analysed. We limited the articles reviewed to only those studies involving human subjects, written in English, and research conducted in Africa.

**Table III: Criteria for inclusion and exclusion of the reviewed studies**

| Inclusion criteria   | Exclusion criteria   |
|--|--|
| 1. Studies on children with an admission diagnosis pneumonia or bronchiolitis, and/or report of the presence of lower chest wall in-drawing in a child with cough and difficulty breathing with increase in the respiratory rate for age | 1. Studies which the case definitions are not clearly stated or if it is inconsistently applied            |
| 2. Studies which reported data on any of the outcome of interest for both male and female participants   | 2. No sex-related data were reported on any of the outcomes  |
| 3. Studies in children below 15 years  | 3. Reported data for children with acute upper respiratory infections not necessitating hospital admission |
| 4. Studies with known designs including observational studies (case series, cross-sectional, case-control, cohort) and randomized control trials   | 4. Methods of data collection and documentation are not reported   |

We acknowledged the fact that different researchers would have used different case definitions for the LRTI and the outcome. Thus, we defined acute LRTI episode in the health facility setting as "any child with an admission diagnosis of pneumonia or bronchiolitis" as the main manifestations of LRTI in children. In studies conducted outside health facilities, the presence of lower chest wall in-drawing in children with cough and difficulty breathing at an increased rate of breathing for age was used to define the case, as in the WHO case definition for pneumonia (11, 15).

## **5. Data extraction**

The conduct of this review was carried out following the PRISMA Checklist (16). After iterative database searches and screenings of all titles and abstracts to identify full-text articles for detailed review, a data abstraction form was developed. One author (AEO) has extracted data while the second author (LM) has cross-checked all extracted data compiled using Microsoft Excel 2010. To ensure the accuracy of the extracted data, the second author (LM) compared the extracted information with the original data published in the selected complete texts (or in the supporting documents submitted by the authors). Any identified errors have been discussed and corrected, if necessary.

### **5.1. Assessment of quality of studies**

We assessed the quality of selected studies and potential risk of bias with the Newcastle-Ottawa Scale (17, 18), following the Cochrane Handbook (19). This tool includes 10 items

that assess measurement bias, selection bias, and bias related to the analysis (all rated as either high, moderate or low risk) and an overall assessment of the risk of bias rated as either low, moderate, or high risk (Supplementary file 1). We followed the format of the Cochrane Risk of Bias Assessment Tool for Non-Randomized Studies of Interventions (ACRO-BAT-NRSI) (20) by using 11 “signalling” questions in all (Supplementary file 1). Each question has a single answer, either yes (low risk of bias), probably yes (moderate risk of bias), no (serious to the critical risk of bias) or insufficient information to assess (unable to allocate the risk of bias). Based on the answers to the signalling questions on external and internal validity, the overall risk of bias was assigned to each study as either "low" (suggesting that the study is comparable to a well-performed randomized trial); "moderate" (suggesting that the study is sound for a non-randomized study; or "high" (indicating the study is too problematic to provide useful evidence in relation to sex). If there is not enough information to make a reasonable overall assessment, the study should be assigned "No information" and not used for data synthesis. Only those studies that were found to have less than moderate overall bias risks were used for data synthesis.

## **5.2.Data synthesis and analysis**

The data extracted from the included studies regarding sex differences were summarised (Supplementary file 2). Since we anticipated significant clinical and methodological heterogeneity, we narratively summarized the potential effect of sex on the incidence, aetiology and case fatality of LRTI in individual studies. Gaps in research have also been highlighted. We conducted a meta-analysis to pool data for case-fatality, because it is the only outcome with reasonably well-recorded data from studies with a low or moderate risk of bias. We evaluated heterogeneity using the Chi square-based Q statistic (significant for  $p < 0.1$ ) (21). The funnel plot and Egger’s test were used to test for small-study effects, a potential cause of publication bias (22). For studies that have reported on RSV and case fatality, the findings of the study were further summarized using an unadjusted odds ratio with 95% confidence intervals (CI). Statistical analysis was performed using Stata Version 12.1 (StataCorp, Texas USA) and using metan commands to produce forest plots. Statistical analysis was performed using Stata Version 12.1 (StataCorp, Texas USA) and using metan commands to produce forest plots.

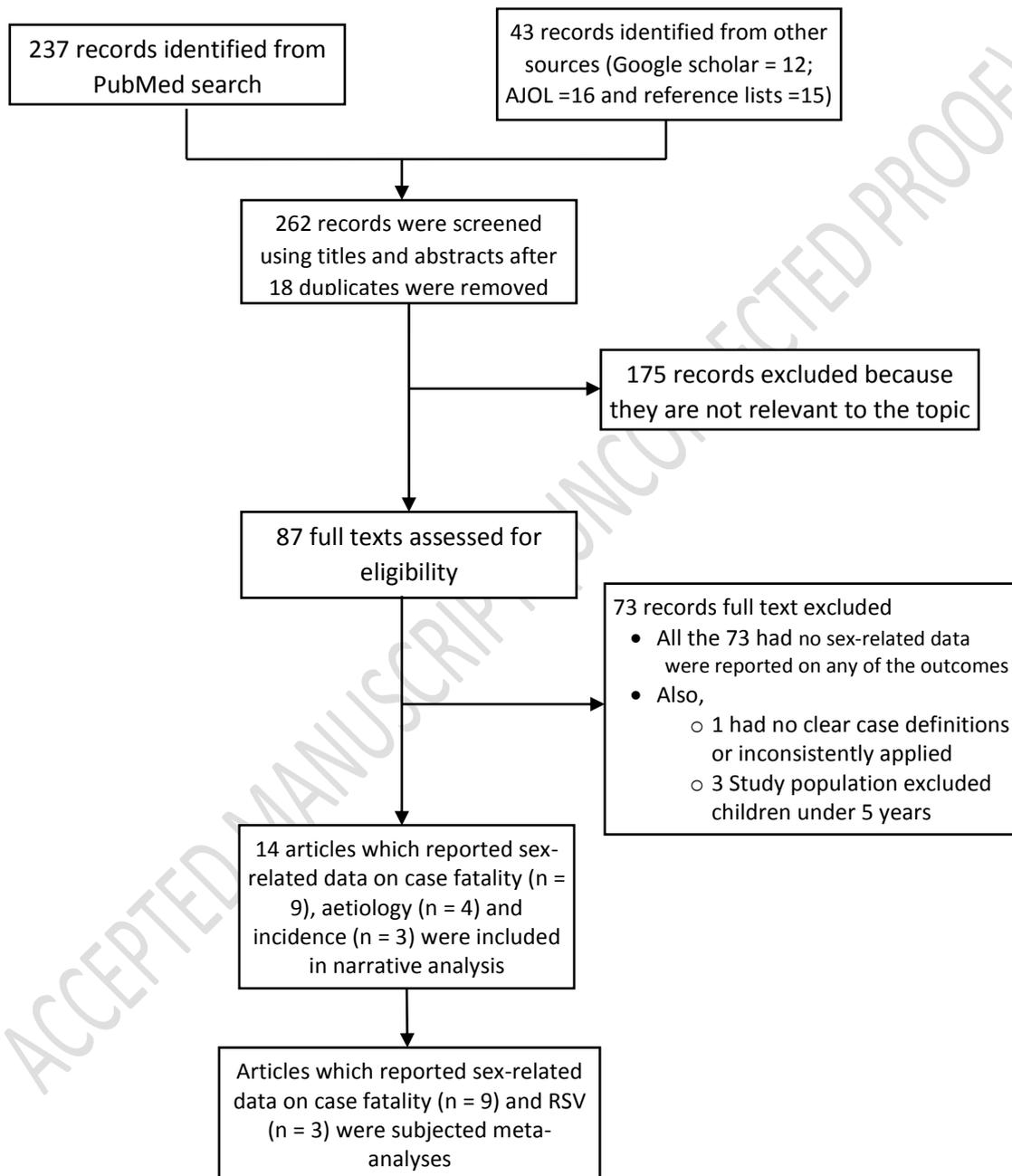
## **6. Results**

### **6.1. Characteristics of studies, design, and participants**

A total of 262 studies with sex-related data were retrieved (Figure 1); 175 reports were screened out due to missing eligibility criteria. There were no sex-related data on any of the outcomes of interest in the full-texts of 73 out of 87 full-text articles assessed for eligibility; these were also excluded from further review. We identified only 14 studies that reported on incidence, aetiology, and/or case fatality of LRTI among African children disaggregated by sex. These came from the Gambia (23), Kenya (5, 24), Malawi (25), Mali (26), Mozambique (27, 28), Nigeria (29-33) and South Africa (34, 35) (Table IV). However, one Nigeria (33) study was excluded from narrative analysis for the incidence of LRTI, because the estimated

incidence estimate was considered to be an outlier [ranged from 6.1 to 8.1 episodes per child-year an incidence ratio of 1.08 (male = 7.2; female = 6.7)].

The publication dates for all the 14 studies ranged from 1990 to 2016. We found data on sex differences for case fatality in nine (26-31, 34), aetiology in three (23, 34, 35) and incidence of pneumonia in three (5, 28, 34) articles. There was no article on bronchiolitis with data on sex differences. All studies focused on LRTI as defined by clinical presentations and/or radiological findings.



**Figure 1: Flow diagram for the selection of studies**

**Table IV:** Characteristics of studies included in the review

| Study           | Year | Country      | Sample size | Design  | Population         | Outcomes*                  |
|-----------------|------|--------------|-------------|---|--------------------|----------------------------|
| Oyejide (33)    | 1990 | Nigeria      | 861         | Longitudinal, community-based                           | 0-59 months        | Incidence                  |
| Forge (36)      | 1991 | The Gambia   | 90          | Prospective, case-control and hospital-based            | Infants <12 months | Aetiology                  |
| Johnson (32)    | 1992 | Nigeria      | 103         | Prospective, cross-sectional and hospital-based         | 3-19 months        | Aetiology                  |
| Tornheim (5)    | 2007 | Kenya        | 2466        | Retrospective, cross-sectional and hospital-based       | 0 - >65 years      | Incidence                  |
| Johnson (31)    | 2008 | Nigeria      | 323         | Prospective, cross-sectional and hospital-based         | 2weeks – 59 months | Case fatality              |
| Sigauque (28)   | 2009 | Mozambique   | 757         | Prospective, cross-sectional and hospital-based         | 0–23 months        | Case fatality              |
| Ayieko (24)     | 2012 | Kenya        | 3372        | Retrospective, cross-sectional and hospital-based       | 6 to 23 months     | Case fatality              |
| AbdulKarim (30) | 2013 | Nigeria      | 167         | Prospective, cross-sectional and hospital-based         | 1 - 110 months     | Case fatality              |
| Bénet (26)      | 2015 | Mali         | 118         | Prospective, case-control and hospital-based            | 5–55 months        | Case fatality              |
| Abdulkadir (29) | 2015 | Nigeria      | 200         | Prospective, cross-sectional and hospital-based         | 2 and 59 months    | Case fatality              |
| le Roux (35)    | 2015 | South Africa | 141         | Prospective, cohort of infants from birth               | Birth – 12months   | Aetiology/Incidence        |
| Lazzerini (25)  | 2016 | Malawi       | 102708      | Retrospective, cross-sectional and hospital-based       | 2–59 months        | Case fatality              |
| Bassat (27)     | 2016 | Mozambique   | 825         | Prospective, cross-sectional and hospital-based         | 0-59 months        | Case fatality              |
| Zar (34)        | 2016 | South Africa | 314         | Nested case-control study in a cohort infant from birth | Birth – 12months   | Case fatality<br>Aetiology |

\*With data disaggregated by sex

NB: Zar and Le Roux are the same cohorts

The study population was children aged less than 5 years in all but two studies including a Nigerian study (30) which extended participants' age to 110 months and a Kenya study (5) which also included adults. Also, most of the studies were health facility-based (n=12/13) and data were prospectively collected in 11 studies (26-36), 9 studies were cross-sectional in design (5, 24, 25, 27-32), 3 were case-control studies (26, 34, 36) and only two studies (33, 35) involved follow up of participants for at least a year, respectively. Our assessment showed that none of the studies included in the review has a very high risk of bias; four studies (5, 25, 33, 36) were classified as having a moderate risk of bias while the remaining 10 studies have a low risk of bias.

### **6.2. Sex difference in the incidence of pneumonia**

Generally, pneumonia was reported more frequently in males than females in 13 of 14 studies. Two studies reported the sex-specific incidence of pneumonia. The overall incidence of LRTI was lower in female than male children in South Africa, incidence ratio was 0.49 (35); the Kenya study reported incidence (per 100,000 person-years) of pneumonia for children aged with female/male rate ratio of 0.84 (95% CI 0.75-0.95) for 0-4 years; 0.98 (95% CI 0.64-1.52) 5-9 years and 1.51 (95% CI 0.81-2.88) for 10-14 years. The authors reported that there was a lower risk of pneumonia in female than male children less than 5 years (RR = 0.84, 95% CI 0.75-0.95). These two studies used either clinical or WHO case definitions of LRTI. It was, however, difficult to pull the incidence data for the two studies because the participants' ages varied widely and there was apparent high heterogeneity. Also, the numbers of male and female children were not presented in the report from Kenya.

### **6.3. Sex differences in aetiology of LRTI in children**

Four (28.6%) studies (23, 27, 32, 34) out of the 14 studies reviewed investigated and presented sex-related data on aetiology of LRTI. In microbiology-based studies, the leading bacterial cause reported is *Streptococcus pneumoniae*, were identified in two studies (27, 32). *Streptococcus pneumoniae* accounted for 4.5% of 557 and 6.1% of 380 pneumonia cases in males and females, respectively. Other bacterial pathogens reported were *Haemophilus influenzae* type B in two studies (32, 34) [male =37/247 (10.9%); female = 1/276 (0.4%)], and *Staphylococcus aureus* in one study (32) [male =7/55 (12.7%); female = 7/48 (14.6%)]. Sex disaggregated results on Rhinovirus and *Pneumocystis jirovecii* were presented by two (27, 34) out the four studies while only a study (34) reported sex distribution for the Influenza virus, Parainfluenza, and Bocavirus as pathogens. Influenza virus, Parainfluenza, and Bocavirus were identified more frequently in male than female infants, male constitutes over 60% children from whom isolates were obtained. Bassat, Lanaspá (27), identified rhinovirus as the dominant pathogen in both male (n = 125/497; 25.2%) and female (n = 69/328; 21.0%) followed by adenovirus (male 68/497; female 34/328), *Pneumocystis jirovecii* (male 28/497; female 29/328) and *Streptococcus pneumoniae* (male 24/497; female 22/328).

RSV was the most frequently reported viral cause of pneumonia for both males (n = 79/745, 10.6%) and females (n =70/599, 11.7%) children, were identified in three studies from The Gambia (23), Nigeria (32) and South Africa (34). These parts of the sex-related data on aetiological agents were subjected to meta-analysis and the pooled sex effect (with 95% CI and p-value) and heterogeneity test were as presented in Table V and Figure 2. Only the study from Mozambique (27) has the 95% confidence interval for the Odds ratio crossing the “line

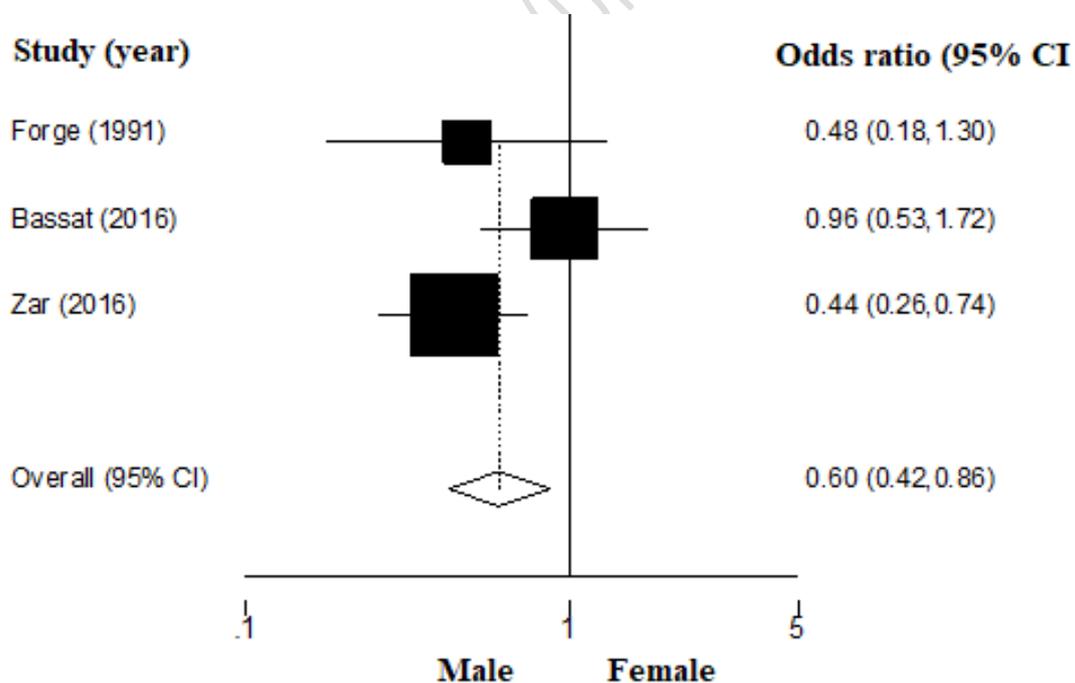
of no difference” while others showed a significant Odds ratio in favour of male children. Overall, the effect of sex averaged for all the three studies was in favour of the male sex, that is odds of identifying RSV was significantly lower in male than female children (OR=0.60; 95% CI: 0.42, 0.86).

**Table V: Summary statistics of Forrest plot for three studies included in the meta-analysis for the effect of sex on RSV as aetiologic agent for LRTI**

| First author | Year | Country      | No of Cases |        | OR   | 95% CI |       | % Weight |
|--------------|------|--------------|-------------|--------|------|--------|-------|----------|
|              |      |              | Male        | Female |      | Lower  | Upper |          |
| Forge (36)   | 1991 | The Gambia   | 51          | 39     | 0.48 | 0.17   | 1.30  | 15.07    |
| Bassat (27)  | 2016 | Mozambique   | 497         | 328    | 0.96 | 0.53   | 1.72  | 30.53    |
| Zar (34)     | 2016 | South Africa | 208         | 106    | 0.44 | 0.26   | 0.74  | 54.40    |
| Pooled OR    |      |              |             |        | 0.60 | 0.42   | 0.86  |          |

Heterogeneity chi-squared = 3.97 (d.f. = 2) p = 0.137

Test of OR=1 : z= 2.75 p = 0.006

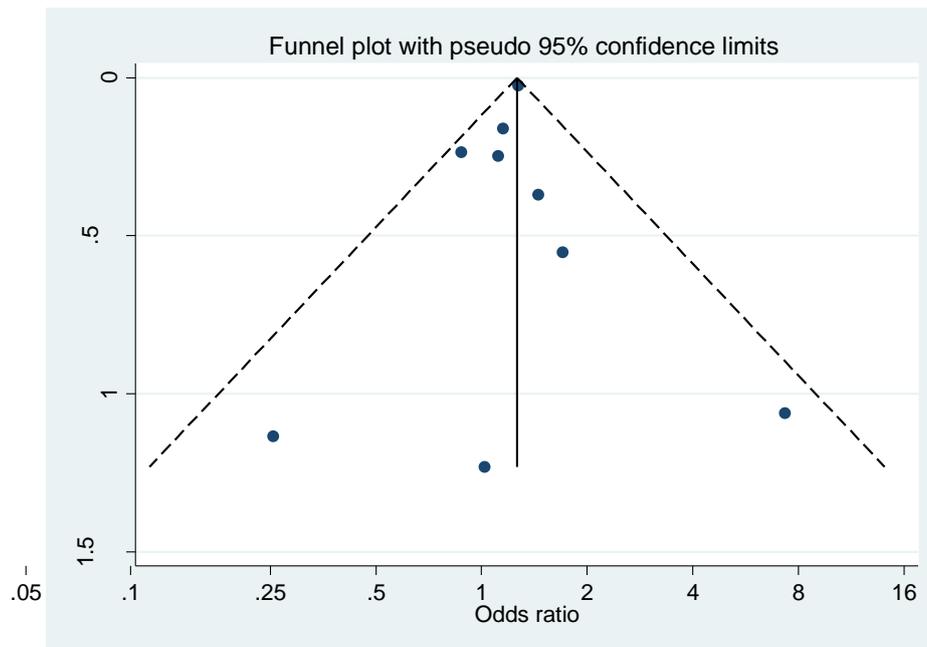


**Figure 2: Forrest plots for meta-analysis odds ratio estimates for the effect of sex on RSV as a cause of LRTI among African children**

Sex differences in mortality among children with LRTI

We identified 9 studies from Nigeria (n =3), Mozambique (n =2), Kenya (n =1), Mali (n = 1), Malawi (n =1) and South Africa (1) with sex-related data on case fatality (Table VI). The

distribution of the included publications in the funnel plots (Figure 3) shows symmetrical scattered points on either side of the overall effect line. This observation was supported by the results of the Egger test ( $p>0.05$ ) suggesting that there might not have been remarkable publication biases. The meta-analysis odds ratio estimates for the effect of sex on case fatality with statistical tests for heterogeneity are as shown in Figure 4. The pooled estimate indicates that the odds of fatality were significantly higher for male than female children (OR = 1.26; 95% CI = 1.20 – 1.33).



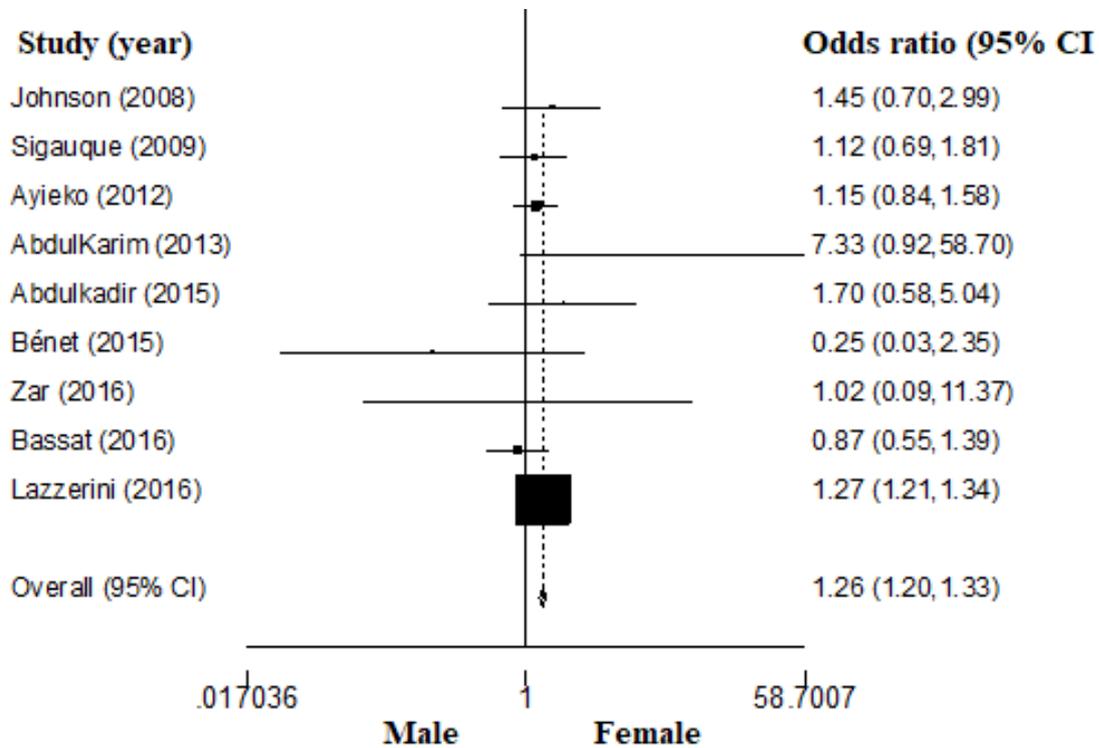
**Figure 3: Funnel plot, using data from 9 studies of case fatality in childhood pneumonia**

**Table VI: Summary statistics of Forrest plot for 8 studies included in the meta-analysis for the effect of sex on case fatality among African children**

| First author    | Year | Country      | No of Cases |        | OR   | 95% CI |       | % Weight |
|-----------------|------|--------------|-------------|--------|------|--------|-------|----------|
|                 |      |              | Male        | Female |      | Lower  | Upper |          |
| Johnson (31)    | 2008 | Nigeria      | 177         | 146    | 1.45 | 0.70   | 2.99  | 0.42     |
| Sigauque (28)   | 2009 | Mozambique   | 440         | 317    | 1.12 | 0.69   | 1.81  | 1.06     |
| Ayieko (24)     | 2012 | Kenya        | 1762        | 1443   | 1.15 | 0.84   | 1.58  | 2.47     |
| AbdulKarim (30) | 2013 | Nigeria      | 100         | 67     | 7.33 | 0.92   | 58.70 | 0.04     |
| Abdulkadir (29) | 2015 | Nigeria      | 119         | 81     | 1.71 | 0.58   | 5.04  | 0.18     |
| Bénet (26)      | 2015 | Mali         | 57          | 61     | 0.25 | 0.03   | 2.35  | 0.13     |
| Zar (34)        | 2016 | South Africa | 208         | 106    | 1.02 | 0.09   | 11.37 | 0.04     |
| Bassat (27)     | 2016 | Mozambique   | 497         | 328    | 0.87 | 0.55   | 1.39  | 1.30     |
| Lizzerini (25)  | 2016 | Malawi       | 46138       | 56570  | 1.27 | 1.20   | 1.34  | 94.4     |
| Poled OR        |      |              |             |        | 1.26 | 1.20   | 1.33  |          |

Heterogeneity chi-squared = 8.29 (d.f. = 8)  $p = 0.406$

Test of OR=1: chi-squared = 91.00 (d.f. = 1) p <0.001  
 I-squared = 5.7% (Calculated based on DerSimonian-Laird method)



**Figure 4: Forrest plots for meta-analysis odds ratio estimates for the effect of sex on case fatality among African children**

## 7. DISCUSSION

This novel review synthesizes published studies from African countries on sex differences in incidence, aetiology and case fatality of LRTI in children. We observed that despite the increasing evidence that an individual's sex is an important factor which influences the diseases and response to treatments (13, 37, 38), data on sex-related differences in incidence, aetiology, and outcomes of LRTI were scarce. We found only two studies (5, 35) that reported the incidence of pneumonia between males and females and they both reported a higher incidence for male than children. There were inconsistencies in the reports of pathogens identified in children with LRTI and RSV was the leading cause in the three studies that disaggregated aetiological agents by sex. Our summary statistics indicated that the odds of RSV infection were significantly higher in female than male children. We further observed that only 9 studies reported an association between sex and case fatality and the overall estimate revealed that the odds of death was higher in male than female children (OR = 1.26; 95% CI 1.20-1.33).

To our knowledge, our study is the first comprehensive attempt to systematically assess the effect of sex on incidence, aetiology, and mortality of ALRI in children of the African population. Excess pneumonia found among males compared with females has been previously described (39, 40). However, it remains unclear whether sex differences in pneumonia incidence is due to variability in susceptibility between boys and girls or to

selection bias from preferential care-seeking. The incidence reported for male children (0.36 episodes per child-year) in the South Africa study considerably higher than the estimated incidence (0.33 episodes per child-year) for African children aged less than 5 years (41) and more recent estimate (0.22 episodes/child-year; interquartile range: 0.11–0.51) for all low and middle-income countries (LMIC) derived from 35 community-based studies published between 1990 and 2012 (42). The large variation in incidence between the studies selected for our review was most probably due to the distinct study designs and/or real differences in the incidence of pneumonia in the various study settings. For instance, the Nigeria study (33) had an error in respect of the application of case definition and that affected the computation of incidence. The estimate, 6.1 to 8.1 episodes per child-year, in that study was remarkably higher than any incidence ever reported.

The difficulties associated with pooling data for estimation of the incidence of pneumonia have been highlighted (42). These include the scarcity of longitudinal studies in LMIC, the need to be conducted such study over full calendar years, active and frequent screening of a large number of children as well as the correctness of application of case definition by the assessor (42), and these issues limited our ability to pool data here. Similarly, we were unable to combine sex-related data on aetiologies of pneumonia in children given the heterogeneity of the data (41).

In our review, bacterial and viral aetiologies of pneumonia were inconsistently reported for male and female children. The leading bacterial and viral causes for pneumonia found in our review agree with previous reports (43–46). However, it is not clear in literature why the predisposition to some pathogen have sex preference. Generally, in Africa, there is the need to conduct more studies on aetiology pneumonia with a focus on segregating data by sex in children. Such studies will help define the new distribution of pneumonia-causing aetiologies in both sexes and these may likely have important implications for empirical diagnosis and treatments. It is necessary to note that determining the cause of pneumonia in children is often challenging due to difficulties in obtaining direct lung samples. The expectorant easily gets contaminated by oropharyngeal organisms, but the patient's age and probably the child's sex can help narrow the list of probable aetiologies (47). Therefore, interpreting the results of studies on the aetiologies of pneumonia requires an understanding of the limitations imposed by methods of identification and socio-demographic peculiarities of the affected population (48).

In this review, we adopted known methods to select studies and synthesis evidence and ensure transparency in our report, to allow readers to focus on the merits of decisions made in compiling the information presented. Although this systematic review draws primarily from evidence published in journals written in English language, synthesis, and pooling of evidence cover studies from a large number of countries across sub-Saharan Africa, including Francophone settings in West Africa. Therefore, our inferences could still be considered as generalizable to the broader sub-Saharan context.

There are three limitations to interpreting the findings of this review. First, there was considerable variability in the sample size of the studies, ranging from 90 (36) to 102708 (25). This is reflected in the wide confidence intervals for some of the reported odds ratio estimates included in the meta-analysis and one study from Malawi contributed 94.4% of the variation. It appears that a single study substantially influenced the overall estimate of the

odds of deaths, but a repeat analysis without the study had no major impact on the assessment for publication bias. Second, there are flaws in some of the data presented in a few studies (35). For example, a direct comparison of incidence rates in two studies that reported sex-related data was difficult because of variation in definitions of LRTI incidence. Finally, we were unable to verify response rates and whether non-participants were different from participants in terms of socio-demographic characteristics in all the observational studies. Despite these concerns, our review shows that sex-related differences need to be considered seriously by clinical researchers and physicians and that the needs of children with pneumonia may demand to pay attention to sex differences.

## 8. Conclusions

In conclusion, there is a relative paucity of sex-disaggregated data on incidence, aetiology and case fatality of pneumonia in studies published from Africa, males seem to die more frequently than females. Compared to males, females seem to suffer more commonly from RSV infection. Clinicians should be aware of these differences and take them under consideration when managing children with LRTIs. Also, researchers should be encouraged to include and report on sex differences as separately defined variables in LRTI studies. This review shows clearly that male children carry a considerable burden of pneumonia morbidity and mortality in Africa, making them a group that would benefit significantly from existing and newer preventive interventions.

**Conflict of Interest Disclosure:** None

**Authors' Contribution:** AEO and LM conceptualised and developed the original idea, wrote study the protocol, abstracted and analysed data and wrote the manuscript. AAA contributed significantly to the assessment of study quality, drafting of the manuscript and critical revision of the manuscript for important intellectual content.

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ACCEPTED MANUSCRIPT (UNCORRECTED PROOF)

## Supplementary file 1: Assessment of Risk of Bias for Nine Studies Included in the Review

| First author<br>(year of<br>publication) | Risk of Bias Item  |   |   |  |   |  |  |   |   |   | Overall risk<br>of study<br>bias in<br>comparing<br>male and<br>female |
|--|--|---|---|--|---|--|--|---|---|---|--|
|  | Signalling Questions for External Validity   |   |   |  | Signalling Questions for Internal Validity      |  |  |   |   |   |  |
|  | Was the study's target population a close representation of the population in relation to age and sex? | Was the sampling frame a true or close representation of the target population? | Was some form of random selection used to select the sample OR was a census undertaken? | Was the likelihood of non-response bias minimal? | Were data collected directly from the subjects? | Was an acceptable case definition used in the study? | Was the study instrument that measured the parameter of interest shown to have reliability and validity? | Was the same mode of data collection used for all subjects? | Was the length of the shortest prevalence period for the parameter of interest appropriate? | Were the numerators and denominators for the parameter of interest appropriate? |  |
| Zar (2016)                               | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | LOW  |
| Oyejide (1990)                           | Yes  | Yes   | Yes*  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Forgie (1991)                            | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Probably Yes  | Yes   | MODERATE   |
| Johnson (2008)                           | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Sigauque (2009)                          | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Ayieko (2012)                            | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| AbdulKarim (2015)                        | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| le Roux (2015)                           | Yes  | Yes   | Yes*  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | LOW  |
| Bénet (2015)                             | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | LOW  |
| Abdulkadir (2015)                        | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Lazzerni (2016)                          | Yes  | Yes   | Probably Yes  | Probably Yes                                     | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Johnson (1992)                           | Yes  | Yes   | No  | Yes  | NA  | Yes  | Probably Yes   | Yes   | Yes   | Yes   | MODERATE   |
| Bassat (2016)                            | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |
| Tomheim (2007)                           | Yes  | Yes   | No  | Yes  | NA  | Yes  | Yes  | Yes   | Yes   | Yes   | MODERATE   |

\* Some form of census undertaken

NA – Not applicable

ACCEPTED

## Supplementary file 2: Data extracted from the included studies

| Number | Author    | Year | Journal       | Design       | Aim          | setting      | Pneumonia | bronchiolitis | Age group   | Incidence study | avalence | statology | stui | FR reported |
|--------|-----------|------|---------------|--------------|--------------|--------------|-----------|---------------|-------------|-----------------|----------|-----------|------|-------------|
| 1      | Oyejide   | 1990 | Reviews of    | Longitudinal | Determine    | Nigeria      | Yes       | No            | <5 years    | Yes             | No       | No        | No   | No          |
| 2      | Forge     | 1991 | Pediatric in  | Prospective  | To investig  | The Gambi    | Yes       | No            | <12 months  | No              | No       | Yes       | No   | No          |
| 3      | Johnson   | 1992 | Journal of    | Prospective  | To study ba  | Nigeria      | Yes       | Yes           | <5 years    | No              | No       | Yes       | No   | No          |
| 4      | Johnson   | 2008 | Journal Of    | Prospective  | To determin  | Nigeria      | Yes       | No            | <5 years    | No              | No       | No        | Yes  | No          |
| 5      | Sigauque  | 2009 | Journal of    | prospective  | To assess fr | Southern N   | Yes       | No            | 0-23 months | No              | No       | No        | Yes  | Yes         |
| 6      | Aiyeko    | 2012 | PLoS One      | Retrospecti  | To analyse   | Kenya        | Yes       | No            | <5 years    | No              | No       | No        | Yes  | Yes         |
| 7      | AbdulKari | 2013 | Nigeria Jou   | Prospective  | To investig  | Ilorin, Nige | Yes       | No            | 1-110 month | No              | No       | No        | Yes  | Yes         |
| 8      | Abdulkadi | 2015 | South Afric   | Prospective  | To determin  | Ilorin, Nige | Yes       | No            | 2-59 months | No              | No       | No        | Yes  | Yes         |
| 9      | Bénet     | 2015 | PLOS One      | Prospective  | To assess th | Mali         | Yes       | No            | <5 years    | No              | No       | No        | Yes  | Yes         |
| 10     | le Roux   | 2015 | Lancet Glob   | Prospective  | To assess th | South Afric  | Yes       | No            | <12 months  | Yes             | No       | No        | No   | No          |
| 11     | Bassat    | 2016 | Tropical Me   | Prospective  | To study cli | Mozambiq     | Yes       | No            | <2 years    | No              | No       | Yes       | Yes  | Yes         |
| 12     | Zar       | 2016 | Lancet Res    | Nested cas   | To investig  | South Afric  | Yes       | No            | 3-19 months | No              | No       | Yes       | Yes  | Yes         |
| 13     | Lazzerini | 2016 | Lancet Glob   | Retrospecti  | To describe  | Hospitals, I | Yes       | No            | <5 years    | No              | No       | No        | Yes  | Yes         |
| 14     | Tornheim  | 2007 | Int J. Infect | Retrospecti  | To characte  | Kenya        | Yes       | No            | 0- >65 year | Yes             | No       | No        | No   | No          |

## Supplementary file 2: Data extracted from the included studies continue...

| incidence in male | incidence in female | incidence in male | incidence in female | crude association            | adjusted association         | total_LRTI | total_bronchial_pneumonia | total_controls |
|-------------------|---------------------|-------------------|---------------------|------------------------------|------------------------------|------------|---------------------------|----------------|
| 7.                | 6.                  | NR                | NR                  | NR                           | NR                           | 860        | NR                        | 860            |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 90         | NR                        | 90             |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 103        | 14                        | 89             |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 323        | NR                        | 323            |
| NR                | NR                  | NR                | NR                  | M/F, OR =0.83 (0.51-1.33)    | NR                           | 757        | NR                        | 757            |
| NR                | NR                  | NR                | NR                  | 0.89 (0.74-1.12)             | 0.87 (0.64-1.19)             | 3205       | NR                        | 3205           |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 167        | NR                        | 167            |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 200        | NR                        | 200            |
| NR                | NR                  | NR                | NR                  | M/F only Chi square          | NR                           | 118        | NR                        | 118            |
| NR                | NR                  | NR                | NR                  | incide M/F 2.02 (1.40-2.96)  | NR                           | 697        | NR                        | 697            |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 834        | NR                        | 834            |
| NR                | NR                  | NR                | NR                  | NR                           | NR                           | 314        | NR                        | 314            |
| NR                | NR                  | NR                | NR                  | F/M, OR = 1.37 (1.29 - 1.47) | F/M, OR = 1.38 (1.25 - 1.52) | 110617     | NR                        | 110617         |
| NR                | NR                  | NR                | NR                  | incide M/F 0.84 (0.75-0.95)  | NR                           | 1078       | NR                        | 1078           |

Supplementary file 2: Data extracted from the included studies continue...

| male_cases | ale_cases | taie_controls | ie_controls | ile_pneumoale | pneumale | bronchmale | brnchs_pneum | s_pneum | fih_bronch | n_bronch | ferdetella_mr | detell_femmophiluB_r |
|------------|-----------|---------------|-------------|---------------|----------|------------|--------------|---------|------------|----------|---------------|----------------------|
| 487        | 374       | NR            | NR          | 487           | 374      | NR         | NR           | NR      | NR         | NR       | NR            | NR                   |
| 51         | 39        | 18            | 25          | 51            | 39       | NR         | NR           | NR      | NR         | NR       | NR            | NR                   |
| 55         | 48        | NR            | NR          | 55            | 48       | NR         | NR           | NR      | NR         | NR       | NR            | NR                   |
| 177        | 146       | NR            | NR          | 177           | 146      | NR         | 22           | 13      | NR         | NR       | NR            | NR                   |
| 440        | 317       | 2164          | 1917        | 440           | 317      | NR         | 46           | 30      | NR         | NR       | NR            | NR                   |
| 1762       | 1443      | NR            | NR          | 1762          | 1443     | NR         | 97           | 90      | NR         | NR       | NR            | NR                   |
| 100        | 67        | NR            | NR          | 100           | 67       | NR         | 10           | 1       | NR         | NR       | NR            | NR                   |
| 119        | 81        | NR            | NR          | 119           | 81       | NR         | 12           | 5       | NR         | NR       | NR            | NR                   |
| 57         | 61        | 36            | 62          | 57            | 61       | NR         | 1            | 4       | NR         | NR       | NR            | NR                   |
| 372        | 325       | NR            | NR          | 97            | 44       | NR         | NR           | NR      | NR         | NR       | NR            | NR                   |
| 502        | 332       | NR            | NR          | 502           | 332      | NR         | 47           | 35      | NR         | NR       | NR            | NR                   |
| 192        | 92        | 228           | 190         | 192           | 228      | NR         | 2            | 1       | NR         | NR       | NR            | NR                   |
| 49639      | 60978     | NR            | NR          | 46138         | 56570    | NR         | 3400         | 3333    | NR         | NR       | NR            | NR                   |
| NR         | NR        | NR            | NR          | NR            | NR       | NR         | NR           | NR      | NR         | NR       | NR            | NR                   |

Supplementary file 2: Data extracted from the included studies continue...

| mophilB_fec | oplasma_n | roplasm_fen | phyloco_m | phyloc_fem | nfluenza_m | fluenza_fer | eptococ_m | ptoco_fem | oraxella_mr | axella_fem | RSV_male | RSV_female | fluenza_m | aluenza_fem |
|-------------|-----------|-------------|-----------|------------|------------|-------------|-----------|-----------|-------------|------------|----------|------------|-----------|-------------|
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | 9        | 12         | NR        | NR          |
| NR          | NR        | NR          | 7         | 7          | 1          | 0           | 1         | 1         | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | 24        | 22          | NR         | NR       | 29         | 20        | NR          |
| NR          | NR        | NR          | NR        | NR         | 26         | 1           | NR        | NR        | NR          | NR         | 41       | 38         | 26        | 14          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |
| NR          | NR        | NR          | NR        | NR         | NR         | NR          | NR        | NR        | NR          | NR         | NR       | NR         | NR        | NR          |



